Gelefilms e salaji

C-13, Balaji House, Dalia Industrial Estate, Opp. Laxmi Industries New Link Road, Andheri (West), Mumbai - 400 053. Tel.: 40698000 • Fax : 40698181 / 82 / 83 Website : www.balajitelefilms.com CIN No.: L99999MH1994PLC082802



IMPORTANT INFORMATION FOR PHYSICAL SHAREHOLDERS

The Securities and Exchange Board of India ('SEBI') vide its circular dated November 03, 2021 (also read with circular dated December 14, 2021) has made it mandatory for the shareholders holding securities in physical form to furnish PAN, KYC and Nomination details to the Registrar and Transfer Agent ('RTA') of the Company.

KFIN Technologies Private Limited is the RTA of the Company. The relevant contact details are as follows:

KFin Technologies Pvt. Ltd. Unit: Balaji Telefilms Limited Selenium Tower B, Plot No. 31 & 32, Gachibowli, Financial District, Nanakramguda, Serilingampally, Hyderabad - 500 032. Tel: 040-67162222 / 79611000 Fax: 040-23440674 Toll free: 1800 309 4001 E-mail: <u>einward.ris@kfintech.com</u> E-mail: www.kfintech.com

The shareholders holding shares in physical form are requested to note that in case of failure to provide required documents and details as per aforesaid SEBI circular, all folios of such shareholders shall be frozen on or after April 01, 2023 by the RTA.

In view of the above, shareholders of the Company holding securities in physical form are requested to provide following documents/details to RTA:

- ▷ PAN;
- Nomination (for all eligible folios) in Form No.SH-13 or submit declaration to 'Opt-out' in Form ISR-3;
- > Contact details including Postal address with PIN code, Mobile Number, E-mail address;
- > Bank Account details including Bank name and branch, Bank account number, IFS code;
- > Specimen signature.

Note: Any cancellation or change in nomination shall be provided in Form No.SH-14



All of above required documents/details shall be provided to Company's RTA i.e. KFin Technologies Private Limited at the aforementioned details along with Name and Folio numbers.

The shareholders can download the following forms mentioned in SEBI circular from the website of the Company at <u>www.balajitelefilms.com</u>:

S. No	Form	Purpose
1.	Form ISR-1	Request for Registering PAN, KYC details or Changes / updation thereof (only for securities held in physical form)
2.	Form ISR-3	Declaration for Opting-out of Nomination by holders of physical securities in Listed Companies
3.	Form SH-13	Nomination Form
4.	Form SH-14	Cancellation or Variation of Nomination

Correspondence with the Company/RTA

Shareholders should quote their folio number (for physical shares) or DP & Client ID (for shares held in electronic form) in all correspondence with the Company/RTA. All correspondences regarding shares should be addressed to the Registered Office of the Company or to the office of the Registrar & Share Transfer Agents.

Procedure for Change in Address

- Shareholders are required to inform the RTA i.e. KFin Technologies Private Limited in writing of any change in their address, quoting their folio number.
- Change of address is effected only when the signature of the first registered holder on the request letter matches with the specimen signature recorded with the Company. Proof of change in address should be attached with the request letter.
- > Change of address of shares held in the demat form should be notified to the DP.

Procedure for Consolidation of Shareholding into ONE Folio

Shareholders may apply to the RTA for consolidation of their shareholding under different folios into one folio.



Procedure for Nomination of Shares

- Section 72 of the Companies Act, 2013, extends a nomination facility to individuals holding shares in physical form in Companies. Shareholders, in particular those holding shares in a single name should, in their own interest, avail of this facility by giving the particulars of their nomination in the prescribed nomination form i.e. Form SH-13. Any change or variation in the nomination must be made vide Form SH-14;
- In case shares are held in a single name and the shareholder has deceased/expired, the following documents are required to be submitted by nominee(s):
 - Original or certified copy of death certificate of the deceased holder;

- A letter of request from the nominee(s) duly filled in and signed.



Form ISR – 1

(see SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

REQUEST FOR REGISTERING PAN, KYC DETAILS OR CHANGES / UPDATION THEREOF

[For Securities (Shares / Debentures / Bonds, etc.) of listed companies held in physical form]

Date:___/___/____

A. I / We request you to Register / Change / Update the following (Tick ✓ relevant box)

	Bank details	□ Signature
Mobile number	E-mail ID	Address

B. Security Details:

Name of the Issuer Company	Folio No.:
Name(s) of the Security holder(s)	1.
as per the Certificate(s)	2.
	3.
Number & Face value of	
securities	
Distinctive number of securities	From To
(Optional)	

C. I / We are submitting documents as per Table below (tick ✓ as relevant, refer to the instructions):

	~	Document / Information / Details	Instruction / Remark
1	PA	N of (all) the (jo	pint) holder(s)
		PAN	
		Whether it is Valid (linked to Aadhaar): □ Yes □No	PAN shall be valid only if it is linked to Aadhaar by March 31, 2022* For Exemptions / Clarifications on PAN, please refer to Objection Memo in page 4
2		Demat Account Number	Also provide Client Master List (CML) of your Demat Account, provided by the Depository Participant.

3	Proof of Address of the first holder	 Any one of the documents, only if there is change in the address; Client Master List (CML) of your Demat Account, provided by DP. Valid Passport/ Registered Lease or Sale Agreement of Residence / Driving License / Flat Maintenance bill. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old. Identity card / document with address, issued by any of the following: Central/State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions. For FII / sub account, Power of Attorney given by FII / sub-account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address in the name of the spouse
4	Bank details	Account Number: Bank Name: Branch Name: IFS Code: Provide the following: original cancelled cheque with name of security holder printed on it <i>or</i> <u>Bank</u> <u>Passbook or Bank Statement attested by the Bank</u> #
5	E-mail address	#
6	Mobile	#

* or any date as may be specified by the CBDT (DP: Depository Participant) # In case it is not provided, the details available in the CML will be updated in the folio

Authorization: I / We authorise you (RTA) to update the above PAN and KYC details in my / our folio (s) ______, _____, (use Separate Annexure if extra space is required) in which I / We are the holder(s) (strike off what is not applicable).

Declaration: All the above facts stated are true and correct.

	Holder 1	Holder 2	Holder 3
Signature	\checkmark		
Name	\checkmark		
Full address	\checkmark		
PIN			

Mode of submission of documents to the RTA

Please use any one of the following mode;

- 1. In Person Verification (**IPV**): by producing the originals to the authorized person of the RTA, who will retain copy(ies) of the document(s)
- 2. In hard copy: by furnishing self-attested photocopy(ies) of the relevant document, with date
- 3. Through e-mail address already registered with the RTA, with e-sign of scanned copies of documents
- 4. Service portal of the RTA with e-sign with scanned copies of documents, if the RTA is providing such facility

Note

- It is mandatory for holders of physical securities in listed company to furnish PAN, full KYC details (address proof, bank details, e-mail address, mobile number) and Nomination (for all the eligible folios).
- Upon receipt or up-dation of bank details, the RTA will automatically, pay electronically, all the moneys of / payments to the holder that were previous unclaimed / unsuccessful.
- RTA shall update the folio with PAN, KYC details and Nominee, within seven working days of its receipt. However, cancellation of nomination, shall take effect from the date on which this intimation is received by the company / RTA.
- RTA shall not insist on Affidavits or Attestation / Notarization or indemnity for registering / up-dating / changing PAN, KYC details and Nomination.

• Specimen Signature	 Provide banker's attestation of the signature of the holder(s) as per <u>Form</u> <u>ISR - 2</u> in <u>SEBI circular SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655</u> <u>dated November 03, 2021</u>) and <u>original cancelled cheque</u> with name of security holder printed on it <i>or</i> <u>Bank Passbook</u> <i>or</i> <u>Bank Statement attested by the Bank</u>
Nomination**	 Providing Nomination: Please submit the duly filled up Nomination Form (SH-13) or 'Declaration to Opt out of Nomination' as per Form ISR-3, in SEBI circular SEBI/HO/MIRSD/MIRSD RTAMB/P/ CIR/2021/655 dated November 03, 2021 Change in Existing Nomination: Please use Form SH-14 in SEBI circular SEBI/HO/MIRSD RTAMB/P/CIR/2021/655 Cancellation of Existing Nomination: use Form SH-14 & Form ISR - 3

** Nomination (Form SH-13 or SH-14) / 'Declaration to Opt-Out of nomination' (Form ISR – 3), has to be furnished by the holder(s) separately for each listed company.

(Page 3 & 4 is for information to investors; print out of the same is not required)

Objection Memo that can be raised by the RTA

(only if the relevant document / details is / are not available in the folio or if there is a mismatch / discrepancy in the same or change thereof)

Note

RTAs shall raise all objections, if any / at all, in one instance only; the RTA shall not raise further objections on the same issue again and again, after the holder / claimant furnishes all the prescribed documents and details, unless there is any deficiency / discrepancy in the same.

No.	Item	Documents / Details to be provided to the RTA by the holder(s) / claimant(s)		
1	PAN – Exceptions and Clarification	'Exemptions/clarifications to PAN', as provided in clause D to 'Instructions/Check List for Filing KYC Forms' in Annexure – 1 to <u>SEB</u> <u>circular No. MIRSD/SE/Cir-21/2011 dated October 05, 2011</u> or Uniform Know Your Client (KYC) Requirements for the Securities Market, shall also applicable for holder(s) / claimant(s) of securities held in physical mode.		
2	Minor mismatch in Signature - minor	The RTA shall intimate the holder at the existing address, seeking objection, if any, within 15 days		
3	Major mismatch in Signature or its non- availability with the RTA Mismatch in Name	 Banker's attestation of the signature of the holder(s) as per Form ISR – 2 Original cancelled cheque with name of security holder printed on it or Bank Passbook or Bank Statement attested by the Bank Furnish any one of the following documents, explaining the difference in names; Unique Identification Number (UID) (Aadhaar) Valid Passport Driving license PAN card with photograph Identity card / document with applicant's Photo, issued by any of the following: Central / State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions Marriage certificate Divorce decree 		
5	Present address of the holder is not matching with the address available in the folio	 RTA shall issue intimation to both the old and new addresses. In case where the letter is undelivered at the old address, RTA shall not insist for any proof of the old address provided the current address proof is in the form of an address proof issued by a Government Authority. The above procedure will be applicable for request for change in address of the holder also 		

(Page 3 & 4 is for information to investors; print out of the same is not required)

Form ISR – 2

(see circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

1.	Bank Nam	ne and Branch						
2.								
	Postal Address							
	Phone number E-mail address							
	E-mail add	iress						
3.	Bank Acco	unt number						
у.	Burne / (CCO							
4.	Account o	pening date						
5.	Account h	older(s) name(s	5)		1)			
					2)			
					3)			
<u>ر</u>		to graph of the		- - (-)	<u> </u>			
6.	Latest pho	otograph of the	account no	bider(s)				
	г					-		1
		1 st Holder		2 nd H	older		3 rd Holder	
					-	-		
					<u> </u>			
7.		older(s) details	as per Bar	k Records	5			
	a) Addre	SS						
	b) Phone	number						
		address						
	d) Signat				<u>I</u>			
Г	-, -0	(-)					[
1)			2)			3)		
2				Signatur	e verified	as recorded wit	h the Bank	
Seal of the Bank								
		1	Cignatura					
Pla	CP .	******	Signature) Name of th		anager			
rid			Employee (anagei			
Dat	te:		E-mail addr					

Confirmation of Signature of securities holder by the Banker

Form ISR - 3

Declaration Form for Opting-out of Nomination by holders of physical securities in Listed Companies

(see SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

[Under Section 72 r/w Section 24 (1) (a) of Companies Act, 2013 r/w Section 11(1) and 11B of SEBI Act, 1992 and Clause C in Schedule VII and Regulation 101 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015)]

Name of the Company : Registered Address of the Company:

I / we the holder(s) of the securities particulars of which are given hereunder, *do not wish to nominate* any person(s) in whom shall vest, all the rights in respect of such securities in the event of my /our death.

PARTICULARS OF THE SECURITIES (in respect of which nomination is being opted out)

Nature of Securities	Folio No.	No. of Securities	Certificate No.	Distinctive No.

I/ we understand the issues involved in non-appointment of nominee(s) and further are aware that in case of my / our death, my / our legal heir(s) / representative(s) are required to furnish the requisite documents / details, including, Will or documents issued by the Court like Decree or Succession Certificate or Letter of Administration / Probate of Will or any other document as may be prescribed by the competent authority, for claiming my / our aforesaid securities.

Name(s) and Address of Security holders(s) Sole / First Holder Name Signature(s)

Second Holder Name

Third Holder Name

Name and Address of Witness	Signature

Form No. SH-13 NOMINATION FORM [Pursuant to section 72 of the Companies Act, 2013 and rule 19(1) of the Companies (Share Capital and Debentures) Rules 2014]

To,

Balaji Teleflms Limited

C-13, Balaji House, Dalia Industrial Estate, Opp. Laxmi Industrial Estate, New Link Road, Andheri (West), Mumbai 400053

I/We_

the holder(s) of the securities particulars of which are given hereunder wish to make nomination and do hereby nominate the following persons in whom shall vest, all the rights in respect of such securities in the event of my/our death.

1) **PARTICULARS OF THE SECURITIES** (in respect of which nomination is being made)

Nature of	Folio No.	No. of securities	Certificate No.	Distinctive No.	
securities				From	То

2) PARTICULARS OF NOMINEE(S)

Name:		Dat	Date of Birth: / /		
Father's/ Mother's/		Occ	cupation:	Nationality:	
Spouse's name:	Spouse's name:			-	
Address:					
	Pin code				
E-mail id: Phone No :					
Relationship with the security h	nolder:				
3) IN CASE NOMINEE IS A					
Date of birth: / /	Date of attaining Majority:		ame of gu	uardian:	
		I	Pin code		
4) PARTICULARS OF NOMINE	E IN CASE MINOR NOMINEE DIE	S BEFORE	ATTAIN	ING AGE OF MAJORITY	
Name:		Dat	e of Birth	:	
Father's/ Mother's/ Spouse's name:		Occ	Occupation:		
Nationality:		Pho	Phone No.:		
Address:					
			Pin code		
E-mail id:	Relationship with the second	urity holde	r:		
Relationship with the Minor Nomi	nee:	-			
Name:					
Address:					
Name of the Security Holder(s)		Signature			
1.					
2.					
3.					
Witness with Name and Address			Signat	ture of Witness with date	
Address:					
 	Pin code				
Place: Dat	e:/_/				

Please fill this Nomination form in Duplicate after carefully reading the instructions given below:

- 1. The Nomination can be made by individuals only holding shares singly or jointly. Non-individuals including Society, Trust, Body Corporate, Partnership firm, Karta of Hindu Undivided Family (HUF) and Power of Attorney holder cannot nominate.
- 2. The nominee shall not be a Trust, Society, Body Corporate, Partnership firm, Karta of HUF and power of attorney holder.
- 3. The shareholder [s] can nominate a minor as a nominee and in that event the name and address of the guardian shall be provided.
- 4. The Shareholder(s) shall provide particulars of nominee in the event of death of minor nominee before attaining age of majority.
- 5. As per section 72 of Companies Act 2013, if the shares are held by more than one person jointly, then the joint holders may together nominate a person to whom all the rights in the shares of the Company shall vest, in the event of death of all the joint holders.
- 6. If the shares are held jointly, subsequent to the death of anyone of the holders, the shares would not be registered in favour of the nominee but would be transferred in the name of the surviving shareholders.
- 7. The nomination form filled in "duplicate" should be lodged with the Registrar and Share transfer Agent of the Company, i.e., M/s. KFin Technologies Private Limited, Unit: Balaji Telefilms Limited, Selenium Tower B, Plot 31- 32, Gachibowli, Financial District, Nanakramgunda, Hyderabad 500 032. The Registrar will return one copy of the nomination form to the shareholder after registering the nomination. The registration number allotted will be furnished to the surviving shareholders.
- 8. The shareholder[s] can delete or change an earlier nomination by executing Form No. SH-14 (Cancellation or Variation of Nomination form)
- 9. Nomination stands cancelled whenever the shares in the given folio are transferred/dematerialized. Also in case of change in folio due to consolidation/ transmission a new nomination has to be filed.
- 10. The nomination made through Form No. SH-13 will be considered valid if the nomination made by the holder[s] of the shares is registered with the company before the death of the security holder[s] of the shares.
- 11. Kindly note that the nomination being a legal document should be dated by the nominator and the witness should certify that the nominator has signed the form in their presence. Furthermore, the date of execution on the Nomination Form should match with the date of witness, witnessing the document.
- 12. A copy of photo identity proof (like PAN/Passport) of nominee is required.

FOR OFFICE USE ONLY			
Nomination Registration No.	Date of Registration	Signature of Employee with Code No.	

Page 1 of 2

Form No. SH-14
CANCELLATION OR VARIATION OF NOMINATION
[Pursuant to sub section (3) of section 72 of the Companies Act, 2013 and rule 19(9) of the
Companies (Share Capital and Debentures) Rules 2014]

To, Balaji Teleflms Limited

C-13, Balaji House,	Dalia Industrial Estate,	Opp. Laxmi Industrial Estate,
New Link Dood An	dhari (Wast) Mumhai	100052

New Link Road, Andheri (West), Mumbai 400053

I/We_____

cancel the nomination(s) made by me/us in favor of ______residing at _____

_____I\ ·____

_____in respect of the below mentioned securities.

_____hereby

_hereby

I/We_

nominate the following person in place of ______

as nominee in respect of the below mentioned securities in whom shall vest all rights in respect of such securities in the event of my/our death.

Or

1) **PARTICULARS OF THE SECURITIES** (in respect of which nomination is being cancelled/varied)

Nature of	Folio No.	No. of securities	Certificate No.	Distinctive No.	
securities				From	То

2) (a) PARTICULARS OF THE NEW NOMINEE

Name:		Date of Birth: / /	
Father's/ Mother's/		Nationality	7: Phone No.:
Spouse's name:			
Address:			
	Pin co	ode	
E-mail id:			
Relationship with the security holder:			
(b) IN CASE NEW NOMINE	E IS A MINOR		
Date of birth: / /	Date of attaining Majority:	Date of attaining Majority: Name of g	
Address of guardian:			
		Pin cod	e
3) PARTICULARS OF NOMINEE I	N CASE MINOR NOMINEE D	IES BEFORE ATTA	INING AGE OF MAJORITY
Name:		Date of Birth:	/ /
Father's/ Mother's/ Spouse's name:		Occupation:	
Nationality:		Phone No.:	
Address:			
		Pin coo	le
E-mail id:Relationship with the security holder:			
Relationship with the Minor N	ominee:		
Name of the Security Holder(s)		Signature	
1.			
2.			
3.			
Witness with Name and Address		Signature of Witness with date	
Address _			
		_	
Place: Date:_	/ /		
Date:	/ /		

Please fill this Nomination form in Duplicate after carefully reading the instructions given below:

- 1. The Nomination made earlier can be cancelled and new nomination can made either singly or jointly. Non- individuals including Society, Trust, Body Corporate, Partnership firm, Karta of Hindu Undivided Family (HUF) and Power of Attorney holder cannot nominate.
- 2. The nominee shall not be a Trust, Society, Body Corporate, Partnership firm, Karta of HUF and power of attorney holder.
- 3. The shareholder[s] can nominate a minor as a nominee and in that event the name and address of the guardian shall be provided.
- 4. The Shareholder(s) shall provide particulars of nominee in the event of death of minor nominee before attaining age of majority.
- 5. As per sub section (3) of section 72 of Companies Act 2013, if the shares are held by more than one person jointly, the joint holders may together nominate a person to whom all the rights in the securities of the Company shall vest, in the event of death of all the joint holders.
- 6. If the shares are held jointly, subsequent to the death of anyone of the holders, the shares would not be registered in favour of the nominee but would be transferred in the name of the surviving shareholders.
- 7. The nomination form filled in "duplicate" should be lodged with the Registrar and Share transfer Agent of the Company, i.e., M/s. KFin Technologies Private Limited, Unit: Balaji Telefilms Limited, Selenium Tower B, Plot 31- 32, Gachibowli, Financial District, Nanakramgunda, Hyderabad 500 032. The Registrar will return one copy of the nomination form to the shareholder after registering the nomination. The registration number allotted will be furnished to the surviving shareholders.
- 8. The shareholder[s] can delete or change an earlier nomination by executing Form No. SH-14 (Cancellation or Variation of Nomination form)
- 9. Nomination stands cancelled whenever the shares in the given folio are transferred/ dematerialized. Also in case of change in folio due to consolidation/ transmission a new nomination has to be filed.
- 10. Kindly note that the nomination being a legal document the same should be dated by the nominator and the witness should certify that the nominator has signed the form in their presence. Furthermore, the date of execution on the Nomination Form should match with the date of witness, witnessing the document.
- 11. A copy of photo identity proof (like PAN/Passport) of nominee is required.

FOR OFFICE USE ONLY				
Nomination Registration No.	Date of Registration	Signature of Employee with Code No.		